



## Review Article : Open Access

## Nanomedicines from natural sources for effective therapy in the management of immunomodulatory activity

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### Article Info

#### Article history

Received 19 April 2023

Revised 23 May 2023

Accepted 24 May 2023

Published Online 30 June 2023

#### Keywords

Immune response

Carbon nanotubes

Graphene oxide adjuvant therapy

Chitosan

Cancer immunotherapy

Smart nanoparticles

### Abstract

Immune responses are corrective interactions of the innate immune cells and the acquired immune system. Presently, more interest was shifted towards the development of the immune system against toxicity over exposure to noxious chemicals, drugs, environmental pollutants and other factors such as stress, malnutrition, genetic variability, lifestyles, environmental pollutants and chemotherapy exposure which alters immune responses. Immunotherapy has emerged as a valuable tool for the prevention and therapy of a number of ailments, such as inflammation, cancer and autoimmune diseases. Traditional medicinal plants have been extensively explored for immunomodulatory potential with the use of nanosystems that can enhance the therapeutic effects and concurrently overcome many obstacles of phytochemicals, such as poor water solubility and bioavailability. This review highlights a few recent advances in nanotechnology utilizing natural sources for immunostimulation.

### 1. Introduction

The immune system is a remarkable network of biological processes that protects the organism against diseases. It is also a defense system within the body and also outside the body of all the vertebrates, protecting from invading foreign bodies (Sneha Anarthe *et al.*, 2016). The transformation of the immune system provides the outcome involving the changes in responses such as induction, expression, amplification, inhibition or alteration of any part or in any stage of the immune response. So, immunomodulation encloses all the therapeutic alterations aimed at modifying immune responses. Immunomodulators act as controllers for the immune system and its effect inside and outside the body (Sneha Anarthe *et al.*, 2017). There are two types of immunomodulators based on their effects or mode of action: immunosuppressants and immunostimulants. The inherent applications of immunomodulation in clinical practice include the re-creation of immunodeficiency (AIDS treatment) and conquering regular or excessive immune function (autoimmune disorders treatment). Immunological adjuvants containing the specific immunomodulators with antigens are administered to boost the immune response to the vaccine constituents (Dacoba *et al.*, 2017).

Several barriers protect organisms from infection, including mechanical, chemical and biological barriers. But, the recent inventions in nanotechnology have played a major role in the intervention of

new methods in immunomodulation which is growing exponentially (Figure 1). Nanotechnology governs the invasion between pathogens and immune cells. The immune cells take up the nanocarriers of the nanotechnology and modulate their responses. Further, coupling nanocarriers with targeting moieties can favor their preferential access to specific immune cells and their populations. The flexibility in nanotechnology offers boosting of the desired aspect of immunomodulation in which (I) the immune system is activated to produce an immune response against a specific antigen, or (II) the induction of immune tolerance against antigens and immune active drugs (Figure 2). The scope of nanotechnology to implicate divergent responses arises from its creativity, gained through amalgamation and cautious selection of its molecular components and physicochemical properties of the nanosystems (Sengupta *et al.*, 2022).

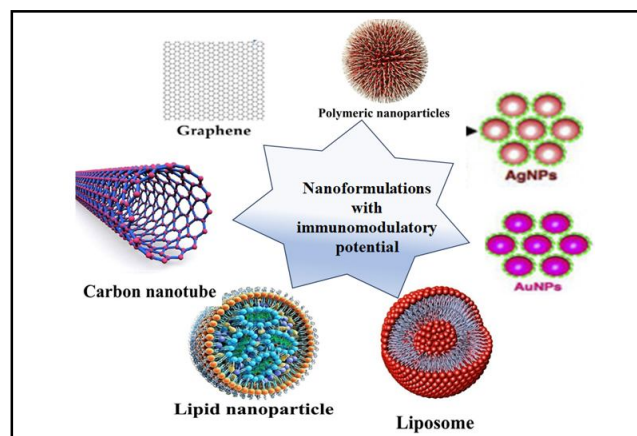


Figure 1: Various types of nanoformulations used as immunomodulators.

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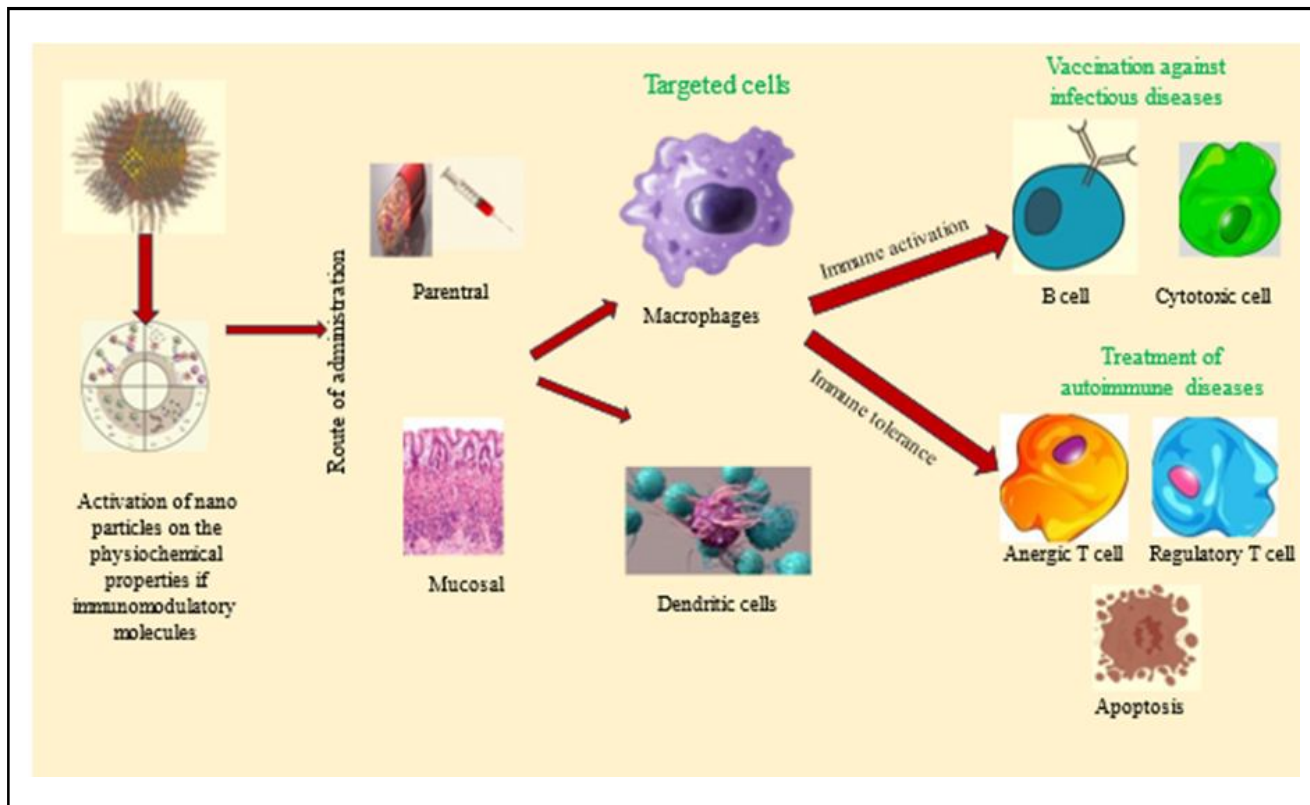
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**Figure 2: Mechanism for activation of immune cells by nanoformulation.**

## 2. Nanoformulations with immunomodulatory potential

### 2.1 Functionalized carbon nanotubes

The influence of carbon nanotubes on the immune system has been of interest in the last decade (Alina Andersen *et al.*, 2012; Hélène Dumortier, 2013; Marco Orecchioni *et al.*, 2014; Elidamar Nunes de Carvalho Lima *et al.*, 2021). Graphene sheet composed of carbon atoms is rolled up to form a cylinder-like structure to make carbon nanotubes (CNTs). These acting as support materials have various properties, such as a unique hollow structure and a peculiar surface area with chemical stability. CNTs are also beneficial for various antigen-delivery applications.

The use of CNTs in life sciences is limited due to difficulty in manipulation as they have poor aqueous solubility. To make use of CNTs beneficial for the scientific world, several strategies are currently being used. The most important among them utilizes the non-covalent and covalent functionalization of CNTs (Tasis *et al.*, 2006). The modifications involve various chemical substances, such as surfactants, copolymers, nucleic acids, proteins, peptides and drugs are used to enhance their solubility, dispersibility, and biocompatibility. Microarray technology utilizing human immune cells was employed to track the transcriptomic change induced by four such types of functionalized CNTs ( $\beta$ -CNTs) (Mario Pescatori *et al.*, 2013). Both adaptive and innate immune responses were investigated in Jurkat cells (T lymphocyte cell line) and THP1 (monocytic cell line), respectively. Real-time PCR of selected genes was utilized to validate the data. ELISA technique was utilized to assess the levels of IL6, IL10, IL1 $\beta$  and TNF $\alpha$  by THP1 and primary monocytes to achieve protein level confirmation and results corroborating with gene expression data.

The functioning of CNT compositions as modular vaccines was also documented (Tarek Fahmy *et al.*, 2017). They were found to be effective as artificial antigen-presenting cells, thereby suggesting their probable role in *ex vivo* or *in vivo* activation of T cells for adoptive or active immunotherapy.

Researchers have identified the potential of CNTs with a support material Lentinan ( $\beta$ -1,6-branches on  $\beta$ -1,3-glucosylhexose), extracted from the mushroom *Lentinula edodes*. Clinically, it has been used as an immunostimulatory agent in various countries like Japan and China. The lentinan-bonded multi-walled carbon nanotubes (MWCNTs) were evaluated for their ability to boost immune response *in vitro*. The results showed that MWCNTs carry sufficient amounts of antigen by penetrating into dendritic cells. In addition, the immune reaction (*in vivo* and *in vitro*) of MWCNTs is compared with C-MWCNTs, (31.8  $\mu$ g/ml), L-MWCNTs (35  $\mu$ g/ml), and lentinan (3.2  $\mu$ g/ml). Significant improvement in the accumulation of antigens and enhancement of cellular and humoral immunity was observed as a result of L-MWCNTs treatment (Jie Xing *et al.*, 2016).

Mannose receptors are playing a key role in the identification of pathogens and presenting antigens. They are generously expressed on macrophages and dendritic cells. Haibo Feng *et al.* (2022) synthesized the mannose-modified carbon nanotubes (M-MWCNTs) and evaluated an antigen delivery system for *in vitro* and *in vivo* studies. Significant improvement in the dendritic cells maturation and elevated values of antigen-specific antibodies and cytokines *in vivo* in mice were observed. The results of the study indicated that M-MWCNTs activate humoral and cellular immune responses and thereby suggested their use as a powerful antigen-targeted delivery system (Haibo Feng *et al.*, 2022).

Some of the undesirable effects of CNTs (including MWCNTs) such as immunotoxicity, inflammation, and oxidative effects were reversed by coupling CNTs with natural flavonoids. Quercetin, a natural flavonoid present in various vegetarian sources, is known for its antioxidant, anti-inflammatory and immunomodulatory profile. Its immuno-protective effect was investigated on pristine MWCNTs-induced immunotoxicity in mice at selected dose levels [0.5 mg/kg and 0.25 mg/kg body weight, intraperitoneally]. After the administration of quercetin (30 mg/kg BW, IP for 2 weeks) these detrimental effects were relieved, as indicated by decreased spleen weight, lipid peroxide malondialdehyde and enzymes levels as glutathione, superoxide dismutase, and catalase, reduced mRNA levels of inflammatory markers in the spleen, downregulated expression of immunomodulatory genes transforming growth factor-beta (TGFβ), COX2, and IL10. Histopathological studies indicated decreased mononuclear cell infiltration, degenerative changes and lymphocytes depletion was restored in the spleen, along with the elevated levels of total leukocytes, lymphocytes, and neutrophils, elevated serum levels of IgM, IgG, and IgA, and antioxidant markers. The outcomes of the study indicated the potential of quercetin to reverse MWCNTs-induced oxidative, inflammatory and immunotoxic effects (Amira *et al.*, 2022).

## 2.2 Graphene oxide-based adjuvant formulations

The immune effects of graphene oxide (GO) have been revealed through various literature. Its favorable features like biocompatibility, large surface area and exceptionally good adsorption capability encourage its use in vaccine and drug-delivery systems. But, inflammation at the site of injection and the development of oxidative stress limits its use. Carnosine is a dipeptide made up of amino acids α-alanine and histidine. The antioxidant properties of carnosine are well-documented (Vistoli *et al.*, 2013). To take advantage of the immune properties of graphene oxide, it can be given in the form of adjuvant therapy. The ultrasmall graphene oxide covalently bonded with carnosine (GO-Car) was mixed with an antigen ovalbumin (OVA) and the resulting adjuvant formulation (OVA@GO-Car) was investigated in mice model. OVA-specific antibody response, lymphocyte proliferation efficiency, and CD4<sup>+</sup> T and CD8<sup>+</sup> T cell activation were promoted as a consequence of this treatment. The absence of allergic response, inflammatory redness/swelling, elevated surface temperatures, physiological anomalies of blood, and remarkable weight changes were noticed in the GO-Car adjuvant therapy. Further, it was observed that lung, muscle, kidney and spleen damage were reduced after modification with carnosine. The results of the study demonstrated GO-Car as a safe adjuvant and it can efficaciously reinforce humoral and innate immune responses to counter antigens *in vivo*. The graphene oxide adjuvant therapy with lentinan is also well-proven, as the latter has immunity-enhancing effects (Meng *et al.*, 2016).

Zhenguang Liu and his team reported graphene oxide grafted lentinan (GO-LTN) as an adjuvant and tested its influence on the *in vitro* and *in vivo* immune response. The *in vitro* results of this formulation showed that it promoted antigen uptake in macrophages and also improved the efficiency of its application. A sustained and long-term immune response was observed by GO-LNT/OVA formulation over GO/OVA *in vivo* studies. Thus, the use of GO-LNT formulation as a safe and effective vaccine-delivery system was well demonstrated in this study (Zhenguang Liu *et al.*, 2020).

## 2.3 Gold nanoformulations

Nano size is playing a significant role in several domains due to physicochemical and electronic properties and its surface area to volume ratio. Isolation of *Bipolaris tetramera* KF934408 (phosphate solubilizing fungus) from rhizospheric soil utilized a nanotechnology tool. Gold (AuNPs) and silver nanoparticles (AgNPs) of this fungus was synthesized by using redox reactions. Both the gold (58.4 and 261.73 nm) and silver (54.78 nm to 73.49 nm) nanoparticles were characterized for shape and size and were further evaluated for their antibacterial and antifungal potential (Faria Fatima *et al.*, 2015). The antimicrobial activity of AgNPs was proved to be significant and moderate antimicrobial action with no antifungal activity was observed with AuNPs. Cytotoxicity studies performed using J774 and THP1 α cell lines disclosed that AuNPs were safe at both the test doses, while a dose-dependent potential was noticed for AgNPs. The outcomes of the study demonstrated that metal nanoparticles synthesized by the fungus can be employed as an economic and safe immunomodulatory delivery vehicle.

Macrophages and natural killer (NK) cells are one of the main components of the innate immune system. Decreased levels of pro-inflammatory cytokines are beneficial for several diseases, *viz.*, inflammatory bowel disease, rheumatoid arthritis, multiple sclerosis, *etc.* AuNPs of *Hypoxis hemerocallidea* extract were investigated to demonstrate its immunomodulatory activity. Various optical and spectroscopic techniques were utilized to confirm the AuNPs of *Hypoxis hemerocallidea* and its metabolite hypoxoside. The immunomodulatory effects of *H. hemerocallidea* extract and AuNPs (average size 26 ± 2 nm) proved that all four treatments decreased pro-inflammatory cytokine amounts in the macrophages, whereas the hypoxoside AuNPs reduced cytokine responses in NK cells (Abdulrahman *et al.*, 2019).

There were various reports on the biological activity profile of red algae-based AuNPs. Three kinds of AuNPs of red algae; namely, *Chondrus crispus* Stackhouse (CC), *Gelidium corneum* (Hudson) J.V. Lamouroux (GC), and *Porphyra linearis* Gréville (PL) were screened for anti-inflammatory and immunomodulatory potential. The synergistic and antagonistic potential of CC-AuNPs, GC-AuNPs, and PL-AuNPs were measured. The results proved the significant potential of these NPs as immunotherapeutic agents (Rudtanatip *et al.*, 2018; Abdala Diaz *et al.*, 2019; Noelia González-Ballesteros *et al.*, 2022).

Cancer immunotherapy is used to combat cancer by activating autoimmune responses. Adjuvants, cytokines and vaccines were designed and studied for immunomodulatory potential. Various limitations of cancer immunotherapy, such as uncontrolled immune responses, limited half-life, drug instability and rapid drug clearance have been overcome by loading immunomodulators with AuNPs. Gold nanopatforms, *viz.*, nanocages, nanorods, nanoshells and nanospheres were extensively used in cancer immunotherapy. AuNPs are used as vehicles for delivering antigens and adjuvants to facilitate or activate the cytotoxic T lymphocytes and boost the immune system by killing tumor cells, which proved the utility of AuNPs as a cancer immunotherapeutic agent (Akshita *et al.*, 2021).

The utility of AuNPs has been extended to vaccine preparation. They are used as adjuvant for designing effective vaccines by various researchers and prepared high-affinity antibodies to partial and complete antigens. Colloidal gold was conjugated with CpG oligodeoxynucleotides (ODNs) to study its immunological effects

further. The impact of various composites of AuNPs and CpG ODNs 1826 and shape and size of AuNPs on the immune response was also explored. In a study, mice were injected with BSA antigen conjugated with gold nanorods, nanoshells, nanospheres, and nanostars (Lev Dykman *et al.*, 2018). Reduced levels of antibody titers are found to be in the sequence AuNPs-50 nm>AuNPs-15 nm> nanoshells> nanostars> nanorods> native BSA was observed. Results proved 50 and 15 nm gold nanospheres are good antigen carriers and can be adjuvant for immunization. Mice immunized with BSA-AuNPs and CpG-AuNPs conjugates have shown the highest levels of anti-BSA antibodies titer.

## 2.4 Silver nanoformulations

Omoniyi and his coworkers investigated silver nanoparticles (AgNPs) of *Labeo rohita* extract for its immunostimulatory potential. The work emphasized on the effect of growth, the immune genes expression levels, cellular ultrastructure, and infection risk from *Aeromonas hydrophila*. Fish of suitable average weight ( $30.1 \pm 3.26$  g) were fed for 56 days with diets and various doses of AgNPs inclusion levels (0, 10, 15 and 20  $\mu\text{g}/\text{kg}$ ). After the 56<sup>th</sup> day, growth, immunological and histological and protective immune response against *A. hydrophila* was screened. Immunological and oxidative parameters, SOD levels and catalase levels decreased with increased doses of AgNPs in the liver, and a decrease in catalase level in the gill. Gill, liver, kidney, and muscle of fish-fed diets combined with AgNPs, showed that levels of interleukin-8 and cyclooxygenase-2 were enhanced. These findings proved that a diet with AgNPs of the herbal extract (at 10 and 15  $\mu\text{g}/\text{kg}$ ) improved growth, health, and the protective immune response against *A. hydrophila* (Omoniyi Michael Popoola *et al.*, 2023).

## 2.5 Chitosan nanoparticles

Chitosan is a linear polysaccharide in which acetylated (N-acetyl-D-glucosamine) and deacetylated ( $\beta$ -(1  $\rightarrow$  4)-linked D-glucosamine) units are randomly arranged (Shahidi Fereidoon and Synowiecki Jozef, 1991). The basic amino moiety of the chitosan is protonated to make neutral solutions. This makes chitosan water-soluble and allows for its rapid binding with negatively charged surfaces (Dong Woog Lee *et al.*, 2013; Chanoong Lim *et al.*, 2021).

The immune system restoration capacity of bioactive compounds was identified in various medicinal plants. But, their use is minimized because of their instability in the gastrointestinal tract. Chitosan-based nanoparticles (CNPs) of various drugs showed improved pharmacokinetic and pharmacodynamic profiles. The immunomodulatory activity of the chitosan-nanoparticles of leaves of *Ziziphus mauritiana* was determined (Bhatia *et al.*, 2011). The immune system of Swiss albino mice was compromised by Hydrocortisone injection (10 mg/kg body weight, i.p.). Upon oral administration of *Ziziphus mauritiana* leaf extract loaded chitosan nanoparticles showed efficient immune restoratory ability in the mice.

The immunomodulatory efficiency of CNPs, gallic acid grafted chitosan nanoparticles (cGANPs) and chitooligosaccharides was studied in mice model. The immunosuppression in mice was achieved by the administration of intraperitoneal injection of cyclophosphamide (80 mg/kg body weight). A remarkable rise in the thymus and spleen indices when compared to control group, indicated reversal of immunosuppression by cGANPs treatment. This kind of reversal is not achieved by treating CNPs and chitooligosaccharides. The

study also disclosed that both CNPs and cGANPs boosted cell mediated immunity *via* induction of Th 1 branch of the immune response and thus serve as better immune enhancers than chitooligosaccharides (Mudgal *et al.*, 2019).

Galih Pratiwi *et al.* (2019) reported the immunomodulatory activity of the Meniran herbal extract prepared as polymeric nanoparticles. The meniran extract (*Phyllanthus niruri*) prepared by maceration method using 70% ethanol was mixed with a chitosan and tripolyphosphate polymer solution to afford corresponding nanoparticles by the ionic gelation method. The preparation of nanoparticles was optimized by using simplex lattice design utilizing Design-Expert software and the optimal composition of the formulation was found to consist of chitosan 0.270 %, extract 0.626 %, and tripolyphosphate 0.074% with the desirability value of 0.841. The zeta potential and particle size of the resulting NPs were recorded using dynamic light scattering (DLS). Fourier transform infrared spectrophotometry-attenuated total reflectance (FTIR-ATR) was employed to study the interactions between components and scanning electron microscopy (SEM) was used for observing the morphology of the lyophilization. The immunomodulatory activity was tested by performing a latex assay method. When the phagocytosis index and phagocytic activity were evaluated, for NPs, they found to be differed significantly ( $p < 0.05$ ) when compared to unformulated extracts. Thus, the developed nanoparticles of the Meniran extract showed better immunomodulatory activity than that of the unformulated extract (Galih Pratiwi *et al.*, 2019).

The dendritic cell (DC)-based (adoptive T cell transfer) strategies have been gaining importance in cancer immunotherapy. Elaborative *ex vivo* manipulations and administration of multiple injections limit the application of DC-based vaccination. Chitosan is an emerging choice for biological and its related fields considering its low toxicity, low immunogenicity, biocompatibility, and biodegradability (Qi *et al.*, 2006; Han *et al.*, 2010; Noh *et al.*, 2014; Kandra *et al.*, 2015). Being positively charged species, chitosan makes electrostatic interactions with DCs. Thus, its use as a drug carrier in nanomedicine gained wide acceptance (He *et al.*, 2010). Chitosan nanoparticles encapsulating ovalbumin as a model antigen by an ion complex phenomenon was developed by using poly I:C as the adjuvant (Han *et al.*, 2010). These nanoparticles were targeted on Toll-like receptor 3 (TLR3) in endosomes by injecting them into tumor-bearing mice. When compared with controls, enhanced *in vivo* intracellular delivery of chitosan nanoparticles on DCs was observed ( $p < 0.01$ ). The results also indicated the promotion of DC maturation and unfolded the activity of antigen-specific cytotoxic CD8<sup>+</sup> T cells. Studies proved that the chitosan nanoparticles can be used as an immune response modulatory vaccine for active cancer immunotherapy without *ex vivo* manipulation (Han *et al.*, 2010).

## 2.6 Smart (light-sensitive) polymeric nanoparticles

Light-responsive polymeric nanoparticles are gaining increasing attention, being non-invasive and highly selective in the clinical practice of cancer (Zhecheng Yu *et al.*, 2023), which is more secure compared to chemotherapy, surgery, and radiotherapy (Wang *et al.*, 2022). The deeper layers of tissues can be easily located with the use of near-infrared (NIR;  $\lambda$  750-2000 nm) (Karimi *et al.*, 2017). The antibody-photosensitizer conjugate will be analyzed with NIR, which shows less toxicity on surrounding healthy cells and is selectively lethal to targeted tumor cells (Activates anti-tumor immune action)

(Maruoka *et al.*, 2020; Kobayashi and Choyke, 2019). By adopting this technique, targeted tumor cells can be killed with photosensitizer (Wei *et al.*, 2022).

Components such as IR-780 exert photodynamic therapy effects by which they convert oxygen into cytotoxic RO species (Nakajima *et al.*, 2021). Graphene oxide-iron oxide NPs are used for local heating or hyperthermia (Espinosa *et al.*, 2016). In case damage signals are received by the sensor, then NIR-PIT will release tumor-specific antigens to cause immunogenic cell death (Kobayashi and Choyke, 2019). If a tumor-specific antigen causes the death of an immunogenic cell, the sensor will receive disturbing signals (Kobayashi and Choyke, 2019).

The utility of IR-780 and imatinib in cancer photo-immunotherapy was evaluated by preparing them as pH-sensitive hybrid nanoparticles (Ou *et al.*, 2018). The core of these nanoparticles was embedded by poly-L-histidine and poly (ethylene glycol)-block-poly(L-glutamic acid) layers. This prevents degradation, and results in stimulus-responsive action with targeted locations exposed to NIR. NIR suppressed the growth of the tumor with apoptosis induction and tumor-associated antigen formation, against the treatment of B16/BL6 and MC-38 cancer mouse models.

A phase-transformation nanoparticle with multiple functions was synthesized with anti-PD1 antibody (aPD1), Fe<sub>2</sub>O<sub>3</sub>, perfluoropentane, PEG and Gly-Arg-Gly-Asp-Ser peptides. NPs of aPD1 showed an improved drug delivery when screened for B16F10-luc mouse model. Additionally, laser irradiation of Fe<sub>2</sub>O<sub>3</sub> sensitizes dendritic cells to modify the tumor-specific effector T cell response followed by cell death and tumor-associated antigens also increased CD8<sup>+</sup> T cell infiltration in the tumor site (Zhang *et al.*, 2019).

### 2.7 Selenium nanoparticles

Antioxidant potential of yeast-derived selenium nanoparticles (Se-NPs) was reported using cyclophosphamide-induced rats. Selenium is effective for oxidative stress and infectious diseases by acting on glutathione peroxidase and selenoproteins. Even though, selenium is available in inorganic and organic forms, each one of them has limitations. The inorganic form of selenium is highly toxic and its high excretion in feces impacts the environment. The organic selenium is converted into selenols in the presence of thiols, which may lead to the propagation of RO species, leading to oxidative stress. Research interest has shifted towards the use of selenium nanoparticles as they offer greater bioavailability, low toxicity, more eco-friendly and economical over inorganic and organic selenium. Physical characterization (size, shape, stability) and Se-NPs structure was evaluated by using SEM, TEM, EDS, FTIR, XRD and XPS techniques. The antioxidant potential of Se-NPs was also evaluated by measuring levels of GSH-Px, MDA, SOD and AOC in the mice treated with 0.3-0.8 mg/kg of Se-NPs. Improved levels of antioxidant enzymes and decreased levels of MDA evidenced its antioxidant potential. The results showed that these yeast-derived Se-NPs act as immunostimulants by increasing the liver, spleen and kidney index (Ziqian Wu *et al.*, 2021).

### 3. Conclusion

The application of nanotechnology in immunomodulation is in the developmental stages. There are certain limitations for secondary

metabolites, viz., solubility, pharmacokinetic properties and chemical stability, which can be overcome with nanotechnology-based delivery systems. Nano-based drug delivery will also help general health as well as autoimmune diseases. Limited nanoformulations, such as carbon nanotubes, graphenes, chitosan-based nanoformulations, gold and silver nanoparticles are formulated with various drugs from natural sources and screened for their immunomodulatory activity. The results proved that nanoformulation of herbals improved immunostimulant action and relieved the clinical symptoms of autoimmune disorders. Furthermore, multidisciplinary efforts are needed for exploring immunomodulation utilizing nanotechnology tools, especially from natural sources.

### Acknowledgments

The authors are grateful to the Gokaraju Rangaraju Educational Society for providing the necessary facilities. The authors also sincerely thank the original researchers whose work was referred to in this review.

### Conflict of interest

The authors declare no conflicts of interest relevant to this article.

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#### Citation

Sneha Nawale, Swathi Naraparaju, Akhila Thotakuri (2023). Nanomedicines from natural sources for effective therapy in the management of immunomodulatory activity. *J. Phytonanotech. Pharmaceut. Sci.*, **3**(2):1-7. <http://dx.doi.org/10.54085/jpps.2023.3.2.1>